

FORM PTO-1390
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

GLN-032US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/527897

INTERNATIONAL APPLICATION NO.
PCT/CH02/00150

INTERNATIONAL FILING DATE
13 March 2002

PRIORITY DATE CLAIMED
20 March 2001

TITLE OF INVENTION **DEVICE FOR LIMITING ACCESS TO A CONFINED SPACE**

APPLICANT(S) FOR DO/EO/US **MONNIER, Jean-Luc**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

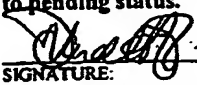
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto:
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
9. ☐ A language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A change of power of attorney and/or address letter.
18. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
19. ☐
20. ☐

Form PCT/IB/308
Return Receipt Postcard

Adjustment Date: 08/19/2005 UWALKER
03/22/2005 SHAJARRD 00000065 110227 10527897
04 FC:2644 100.00 CR

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/527897		INTERNATIONAL APPLICATION NO. PCT/CH02/00150		ATTORNEY'S DOCKET NUMBER GLN-032US	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1060.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$900.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445 (a)(2)) paid to USPTO \$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY 	
				\$ 900.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	13 =	0	X \$18.00	\$ 0.00	
Independent claims	4 =	1	X \$84.00	\$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 984.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/3.				\$492.00	
SUBTOTAL =				\$ 450.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.49(f)).				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 492.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
TOTAL FEES ENCLOSED =				\$ 492.00	
				Amount to be:	\$
				refunded	\$
				charged	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>11-0227</u> in the amount of \$ <u>492.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0227</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO <div style="border: 1px solid black; padding: 5px; display: inline-block; width: 150px;"> Deborah G. Vandenhoff Van Tassel & Associates P. O. Box 2928 Bellaire, TX 77402-2928 Customer No. 26003 </div> <div style="margin-left: 200px;"> SIGNATURE:  Deborah G. Vandenhoff NAME 45176 REGISTRATION NUMBER </div>					

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10/527,897

Adjustment date: 08/19/2005 UWALKER
03/22/2005 SNAJARRO 00000065 110227 10527897
01 FC:2631 150.00 CR

08/19/2005 UWALKER 00000002 110227 10527897

01 FC:2610 375.00 DA
02 FC:2614 42.00 DA

Adjustment Date: 08/19/2005 UWALKER
03/22/2005 SNAJARRO 00000065 110227 10527897
02 FC:2633 100.00 CR

03 FC:2642 200.00 CR

04 FC:2614 100.00 CR